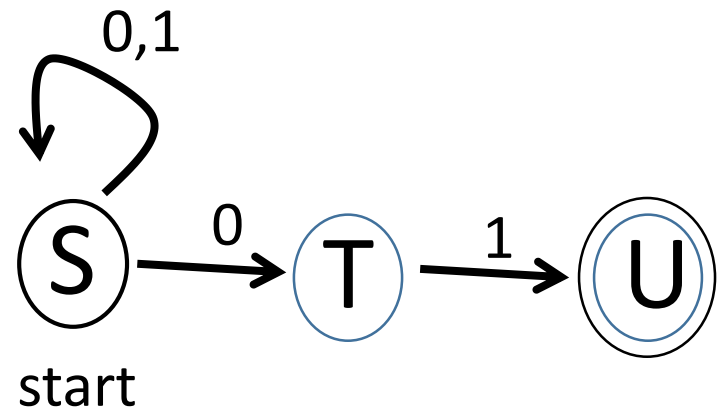


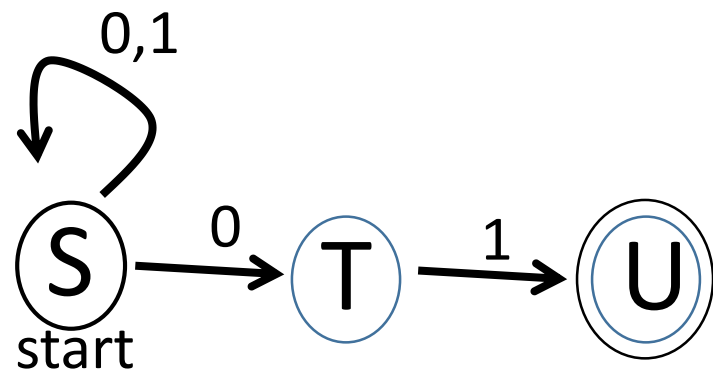
# Nondeterministic Finite Automata

See Section 2.3 of the text

Consider the following automaton:



This is called a "Nondeterministic Finite Automaton", or NFA because in state S there are two options on input 0: we can stay in state S or transition to state T.



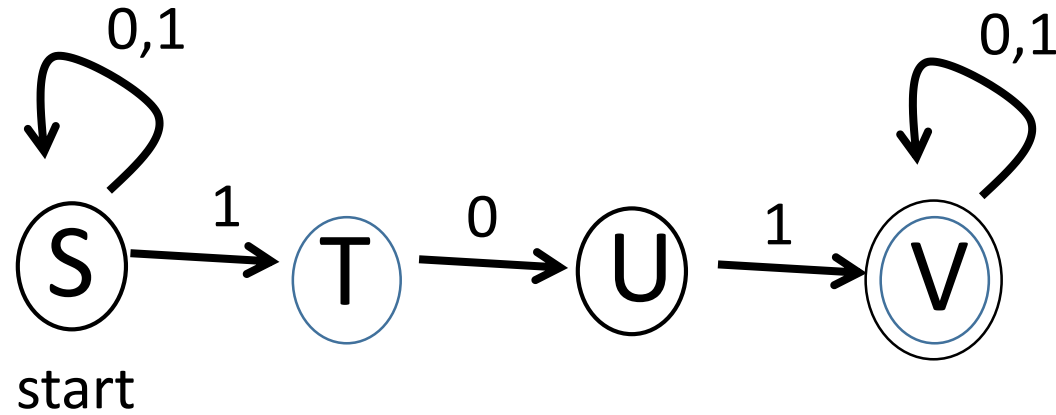
In general, an NFA is a quintuple  $(Q, \Sigma, \delta, s, F)$  where  $Q$ ,  $\Sigma$ ,  $s$ , and  $F$  have the same meanings as in a DFA, and for each state  $t$  and letter  $a$  in  $\Sigma$ ,  $\delta(t,a)$  is a set of states.

We say that such an automaton accepts string  $w=w_0w_1..w_{n-1}$  if there is a sequence of states  $s= t_0t_1..t_n$  where each  $t_{i+1}$  is in  $\delta(t_i,w_i)$  and  $t_n$  is final.

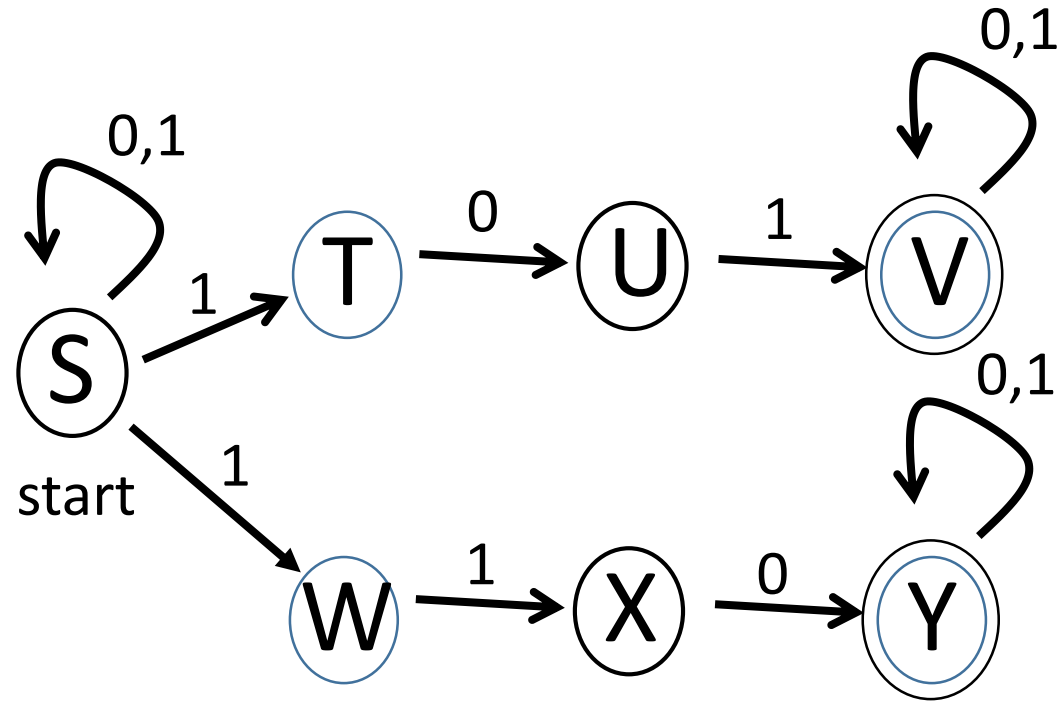
The automaton above accepts  $(0+1)^*01$ , which is the set of all strings of 0's and 1's that end in 01.

NFAs are often easier to design than DFAs.

Example: Construct an NFA that accepts strings containing 101.



Example. Find an NFA that accepts strings containing either 101 or 110.



**First Theorem of the Course:** For any NFA there is a DFA accepting the same language. So the language accepted by any NFA is regular.

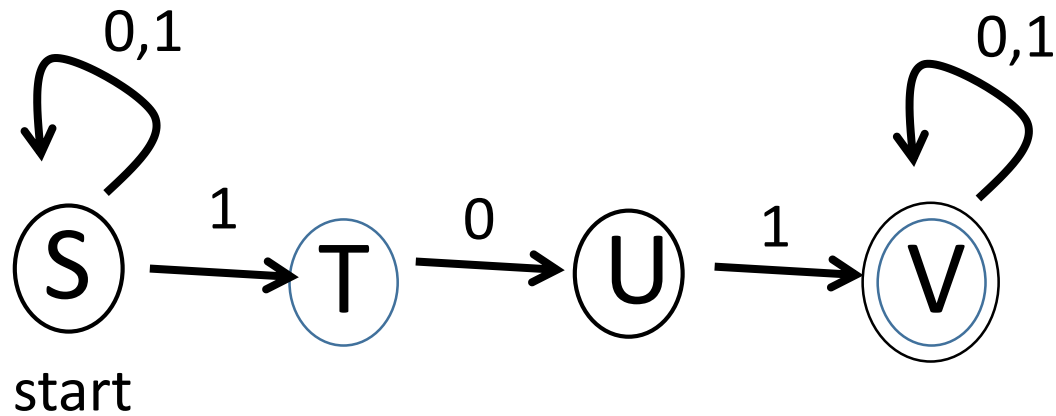
**Proof:** Start with NFA  $(\Sigma, Q, \delta, s, F)$ . Construct DFA  $(\Sigma, Q', \delta', s', F')$  :

1.  $Q'$  consists of sets of states from  $Q$ .
2.  $s' = \{s\}$
3. For each state  $P = \{q_0 \dots q_k\}$  in  $Q'$  and each  $a$  in  $\Sigma$ , make a new state  $P' = \bigcup_{i=0}^k \delta(q_i, a)$ . Then  $\delta'(P, a) = P'$ .
4.  $F'$  consists of all of the states in  $Q'$  that contain a state in  $F$ .

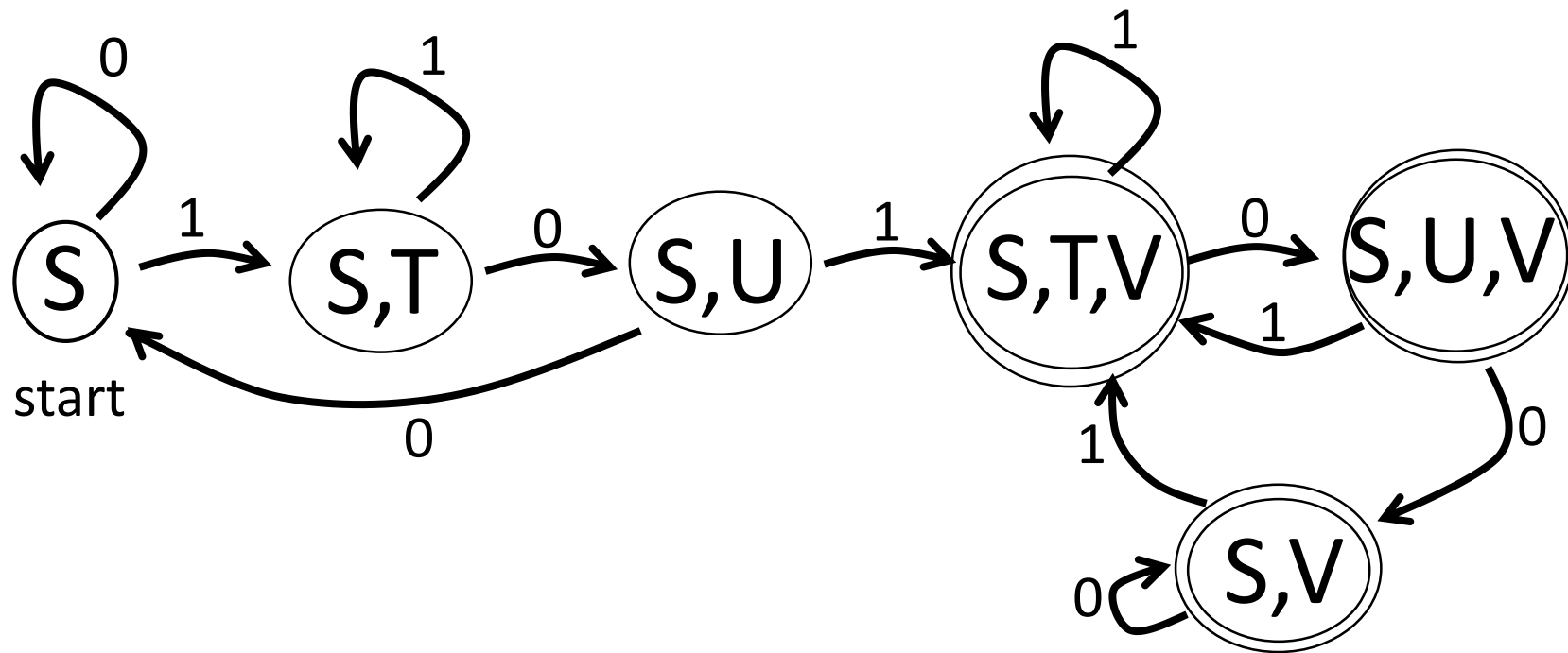
In English, the DFA models all of the states where we could be in the NFA.

construction:

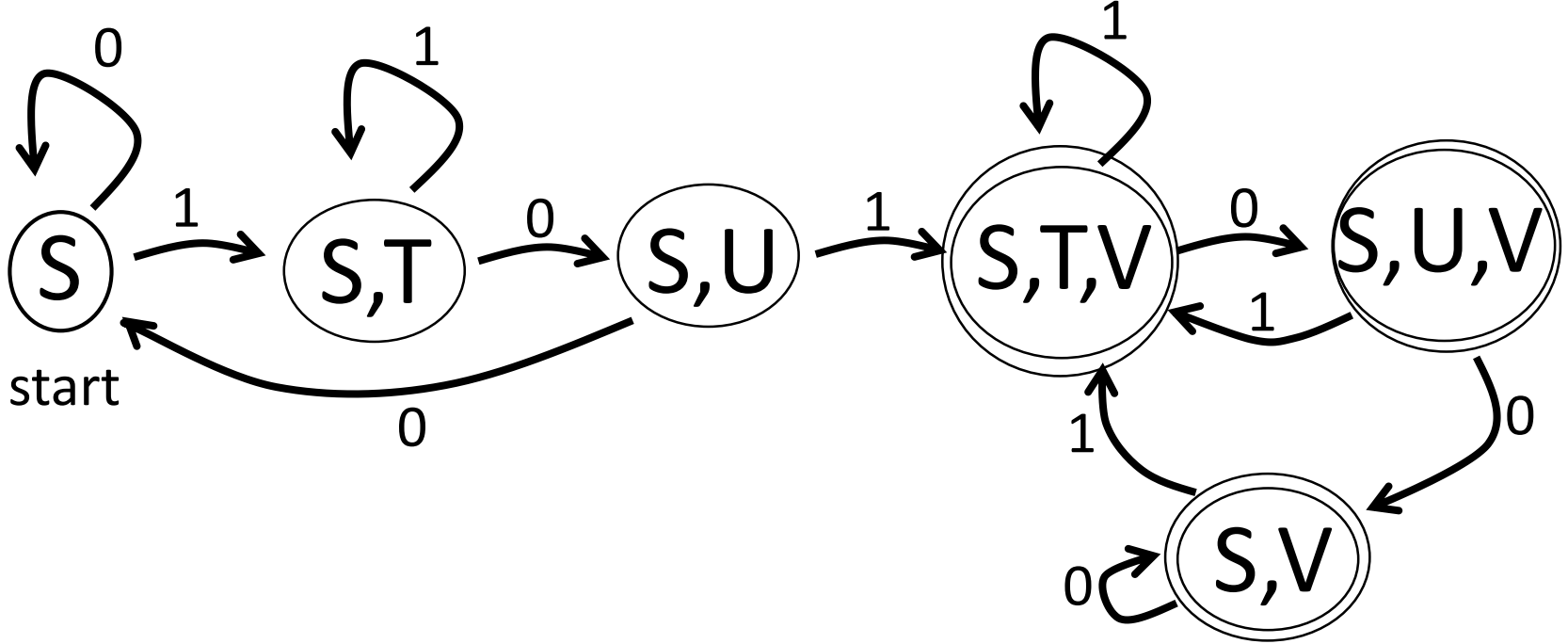
NFA:



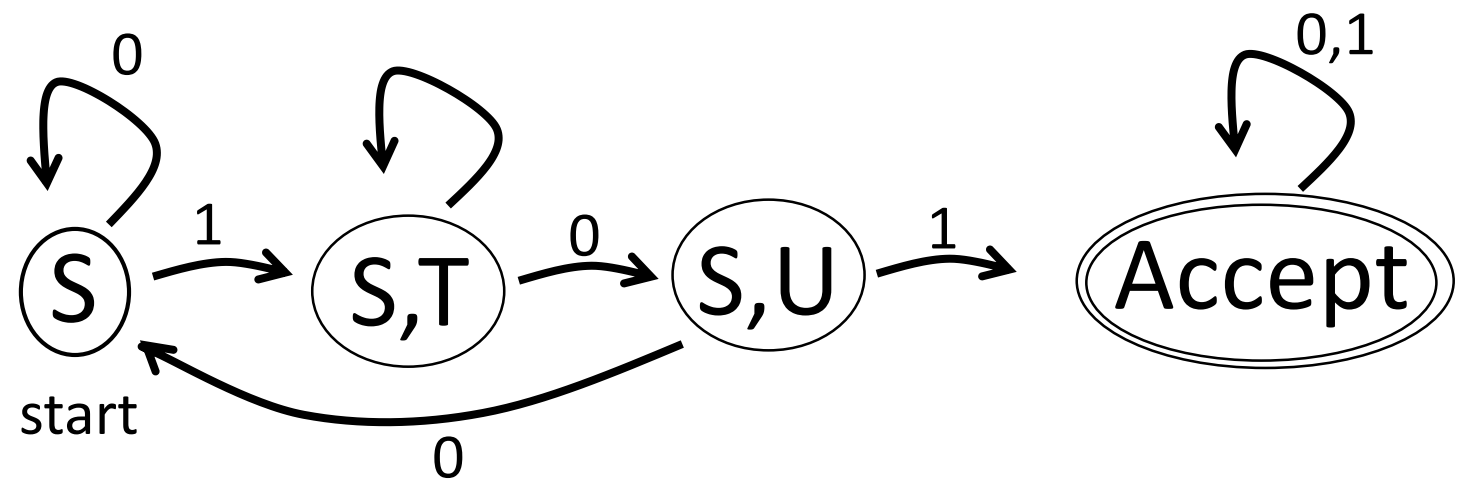
DFA:



DFA:



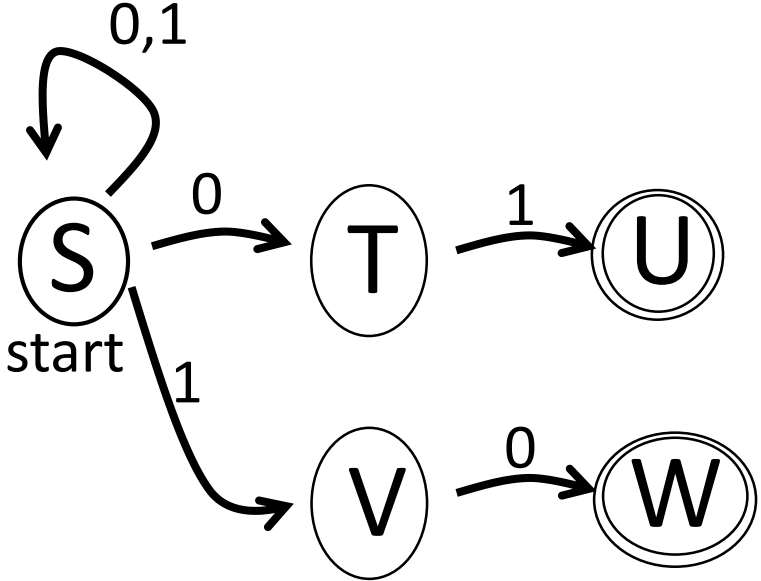
Note that this is equivalent to



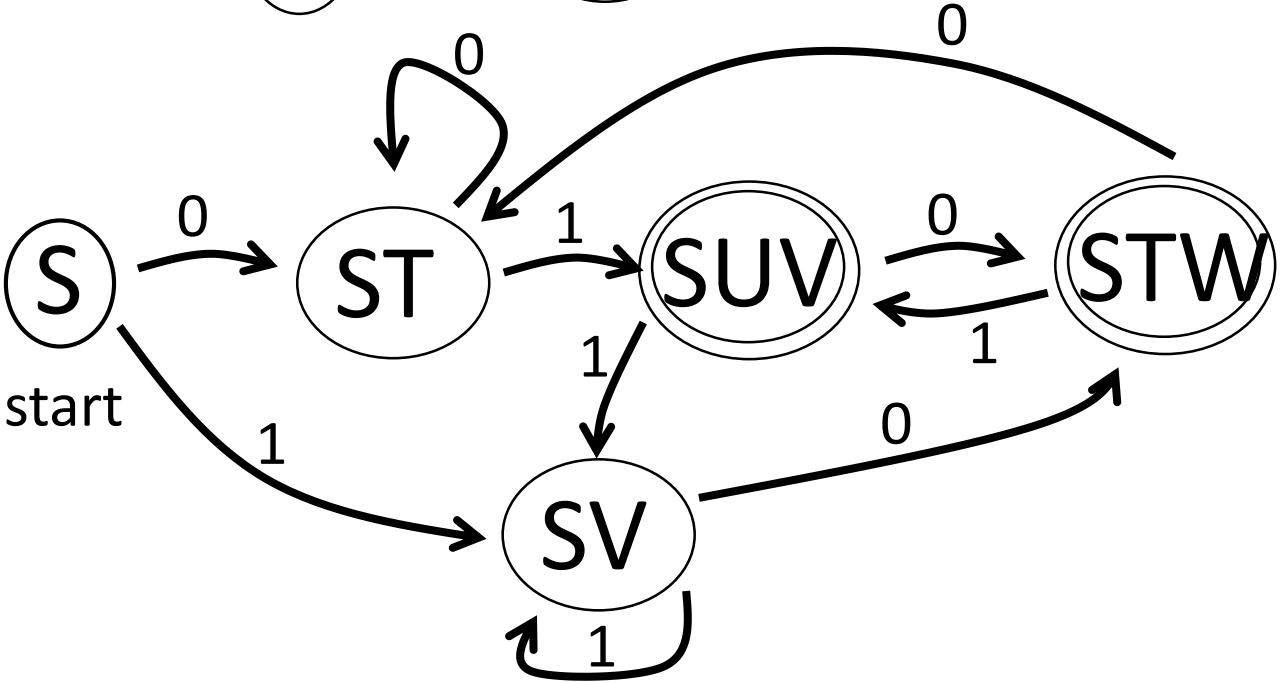


Example: Find a DFA that accepts all strings ending in 01 or 10

NFA:



DFA:



Now, we need to prove that the NFA and DFA accept the same language.

1. Suppose  $w = a_0 a_1 \dots a_{n-1}$  is a string accepted by the NFA. Then there is a sequence of NFA states

$$q_0 = s$$

$$q_1 \in \delta(q_0, a_0)$$

$$q_2 \in \delta(q_1, a_1)$$

etc. with  $q_n$  in  $F$ .

Well, in the DFA  $\delta'(\{s\}, a_0) = Q_1$ , where  $q_1 \in Q_1$

$\delta'(Q_1, a_1) = Q_2$ , where  $q_2 \in Q_2$  and so forth.

Ultimately this produces DFA state  $Q_n$  with  $q_n \in Q_n$  and  $q_n \in F$ , so  $Q_n \in F'$ .

This means the DFA accepts  $w$ .

2. On the other hand, suppose  $w = a_0 a_1 \dots a_{n-1}$  is a string accepted by the DFA. So there is a sequence of states

$$Q_0 = \{s\}$$

$$Q_1 = \delta'(Q_0, a_0)$$

etc. where  $Q_n$  contains an element of  $F$ .

Note that there is a path on  $a_0$  from  $s$  to every state in  $Q_1$ .

$Q_2 = \delta'(Q_1, a_1)$ , so every state in  $Q_1$  can be reached on  $a_1$  from a state in  $Q_1$ . This means there is a path on  $a_0 a_1$  from  $s$  to every state in  $Q_2$ , and so forth. In the end there is a path on input

$w = a_0 a_1 \dots a_{n-1}$  from  $s$  to every state in  $Q_n$ , and one of those is an element of  $F$ , so the NFA also accepts  $w$ .

This completes the proof.