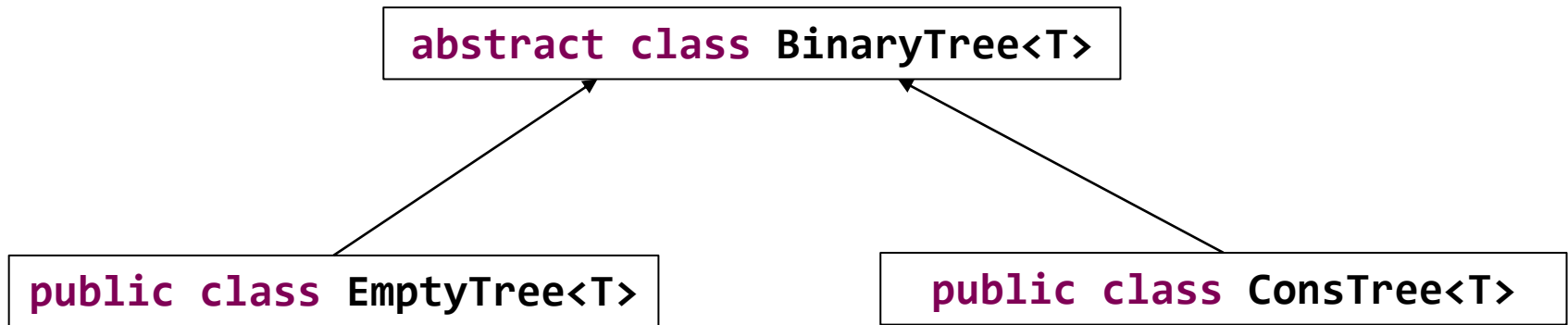


# Lab 6. Binary trees

## Recursion with objects and polymorphism



```
public class ConstTree<T> extends BinaryTree<T> {
```

```
    public ConstTree(T data, BinaryTree<T> left, BinaryTree<T> right) {
        this.data = data;
        this.leftChild = left;
        this.rightChild = right;
    }
```

```
    public ConstTree(T data) {
        this(data, new EmptyTree<T>(), new EmptyTree<T>());
    }
```

Default  
constructor:  
two children  
initially set to  
*EmptyTree*

# Example: recursive height()

Superclass: declares method *height*

```
public abstract class BinaryTree<T> {  
    public abstract int height();  
}
```

```
public class EmptyTree<T> extends BinaryTree<T> {  
  
    @Override  
    public int height() {  
        return -1;  
    }  
}
```

Empty tree  
implements base  
case

```
public class ConsTree<T> extends BinaryTree<T> {  
  
    @Override  
    public int height() {  
        return Math.max(this.leftChild.height(),  
                        this.rightChild.height()) + 1;  
    }  
}
```

Non-empty tree  
implements one  
recursive step

# Corresponding recursive algorithm implemented above:

## Algorithm *height* (*tree*)

```
if tree is EmptyTree:  
    return -1
```

```
return 1 + Max(height(tree.left),  
              height(tree.right))
```

Note how inheritance and polymorphism made our code more expressive – child trees are either Real trees or Empty trees, but both are defined as their superclass *BinaryTree*

No need to ask about the base case: when we reach an empty tree node, it automatically performs the base-case operation

# Set and Map ADT

## Hash tables

Lecture 21

*by Marina Barsky*

# Set

- A *set* is simply a collection of **unique things**: the most significant characteristic of any set is that it does not contain duplicates
- We can put anything we like into a set. However, in Java we group together things of the same class (type): we could have a set of *Vehicles* or a set of *Animals*, but not both [as with any other collection)

# Abstract Data Type: **Set**

## Specification

**Set** is an Abstract Data Type which stores a **collection of unique elements\*** and supports the following operations:

- **Contains (k)** - returns *True* if element *k* is in the collection. Returns *False* otherwise.
- **Add (k)** - adds element *k* to the collection
- **Remove (k)** - removes element *k* from the collection

\*The order of elements in the collection is not important

# Sets are optimized for set operations:

Set A={1, 2, 3, 4}      Set B={4, 3, 1, 6}

→Intersection (set A, set B): creates a new set C consisting only of elements that are found both in A and in B:

$$A \cap B = \{1, 3, 4\}$$

→Union (set A, set B): combines all elements of A and B into a single set C (removes duplicates):

$$A \cup B = \{1, 2, 3, 4, 6\}$$

→Difference (set A, set B): creates a new set C that contains all the elements that are in A but not in B:

$$A - B = \{2\}$$

Set Operations in Java: [DEMO](#)

# Which data structure to use to implement Set ADT?

Main goal: locate the element fast

- *List, Array* -  $N$  elements are **unsorted** – search requires  **$O(N)$**  time
- Sorted array -  $N$  elements are **sorted** –  **$O(\log N)$**  binary search
  - Can keep sorted elements in *Balanced BST* for quick update operations

**It doesn't seem like we can do much better**



# Searching in time $O(1)$

- How about  **$O(1)$** , that is, **constant-time search**?
- We **can** do it **if** we store data in an array organized in a particular way

*“Hash is a food, especially meat and potatoes, chopped and mixed together; a confused mess “ ([en.wiktionary.org/wiki/hash](http://en.wiktionary.org/wiki/hash) )*

The idea of  
Hashing

## Problem 1: First repeating character

**Input:** String  $S$  of length  $N$

**Output:** first repeating character (if any) in  $S$

- The obvious  $O(N^2)$  solution:
  - for each character in order:
    - check whether that character is repeated

## Problem 1: First repeating character

**Input:** String  $S$  of length  $N$

**Output:** first repeating character (if any) in  $S$

a	97
b	98
c	99
d	100
e	101
f	102
g	103
h	104
i	105
j	106
k	107
l	108
m	109
n	110
o	111

The number of all possible characters is 256 (ASCII characters)

- We create an array  $H$  of size 256 and initialize it with all zeros
- For each input character  $c$  go to the corresponding slot  $H[c]$  and set count at this position to 1
- Since we are using arrays, it takes constant time for reaching any location
- Once we find a character for which counter is already 1 - we know that this is the one which is repeating for the first time

## Problem 1: First repeating character

**Input:** String  $S$  of length  $N$

**Output:** first repeating character (if any) in  $S$

**Run-time  $O(N)$**

cabare

a	97	1
b	98	1
c	99	1
d	100	
e	101	
f	102	
g	103	
h	104	
i	105	
j	106	
k	107	
l	108	
m	109	
n	110	
o	111	

- Because the total number of all possible keys is small (256), we were able to **map each key (character) to a single memory location**
- The key tells us precisely where to look in the array!

This method of storing keys in the array is called ***direct addressing***: store key  $k$  in position  $k$  of the array

## Problem 2: First repeating number

**Input:** Array  $A$  containing  $N$  **integers**

**Output:** first repeating number (if any) in  $A$

- This very similarly looking problem cannot be solved with *direct addressing*
- The total number of all possible integers is 2,147,483,647. This is the universe of all possible keys - thus the size of the array
- What if we have only 25 integers to store? Impractical
- Impossible: if array elements are floats/strings/objects
- For these cases we use a technique of *hashing*: we convert **each element into a number** using *hash function*

# Intuition: hashing inputs

- Suppose we were to come up with a “magic function” that, given a key to search for, would tell us the exact location in the array such that
  - If key is in that location, it’s in the array
  - If key is not in that location, it’s not in the array
- This function would have no other purpose
- If we look at the function’s inputs and outputs, the connection between them won’t “make any sense”
- This function is called a **hash function** because it “makes hash” of its inputs

Assume the hash function  $h(x) = x \% 6$ .  
What bucket (position in the array)  
will 27 hash to?

A. 2

[24, 37, \_\_, \_\_, \_\_, 11]

B. 3

C. 15

D. None of the above





Assume the hash function  $h(x) = x\%6$ .  
What bucket (position in the array)  
will 39 hash to?

A. 2

[24, 37, \_\_, \_\_, \_\_, 11]

B. 3

C. 4

D. None of the above



# Case study: hashing students

- Suppose we want to store student objects in the array
- For each student we apply the following *hash function*:

`hashCode(Student) =`  
*length* (Student.lastName)

This gives us the following values:

- `hashCode('Chan')`=4
- `hashCode('Yam')`=3
- `hashCode('Li')`=2
- `hashCode('Jones')`=5
- `hashCode('Taylor')`=6

# Array of students: *hash table*

➤ We place the students into array slots which correspond to the computed hash values:

- `hashCode('Chan')`=4
- `hashCode('Yam')`=3
- `hashCode('Li')`=2
- `hashCode('Jones')`=5
- `hashCode('Taylor')`=6

0	
1	
2	Li
3	Yam
4	Chan
5	Jones
6	Taylor
7	

# Good hash function: length of the last name

- Our hash function is easy to compute
- An array needs to be of size 18 only, since the longest English surname, Featherstonehaugh (Guinness, 1996), is only 17 characters long
- We waste a little bit of space with entries 0,1 of the array, which do not seem to be ever occupied. But the waste is not bad either

0	
1	
2	Li
3	Yam
4	Chan
5	Jones
6	Taylor
7	

# Bad hash function: length of the last name

➤ Suppose we have a new student: Smith

○ `hashCode('Smith')=5`

➤ When several values are hashed to the same slot in the array, this is called a **collision**

➤ Now what?



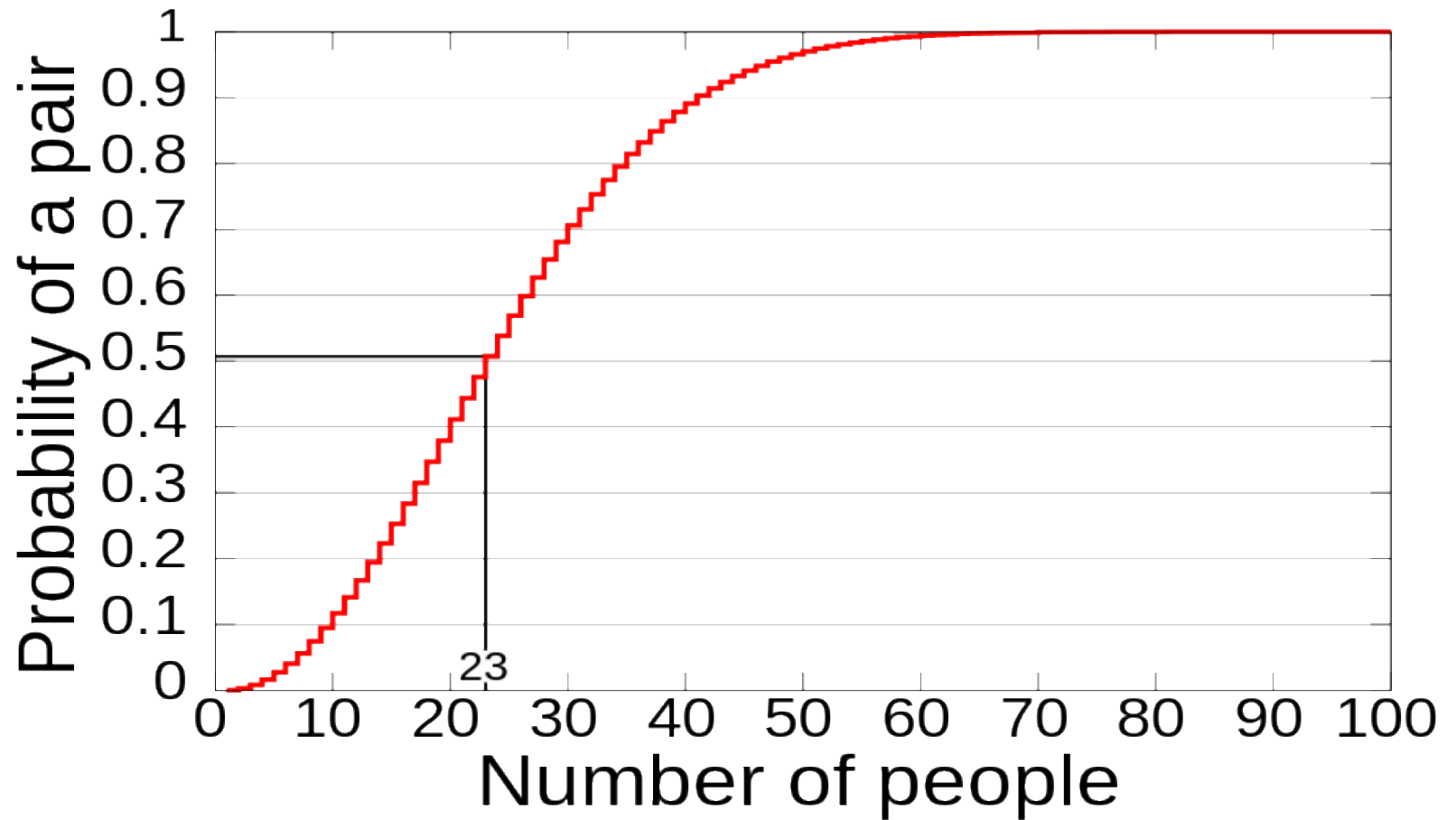
0	
1	
2	Li
3	Yam
4	Chan
5	Jones
6	Taylor
7	

# Looking for a good hash function: day of birth

- What about the day of birth?
  - We know that this would be only 365 (366) possible values
  - The birth day of each student is randomly distributed across this range, and this hash function is easy to compute

# Birthday paradox

- For a college with only  $n=24$  students, the probability that any 2 of them were born on the same day is  $> 0.5$
- Let's approximate this probability:
  - The probability of any two people not having the same birthday is:  
 $p = 364/365$
  - The number of possible student pairs is  $\binom{n}{2} = n(n-1)/2 = 276$
  - The probability for  $n$  students of not having birthday on the same date is  $p^{n(n-1)/2}$ . For 24 students this gives:  $(364/365)^{276} \approx 0.47$ .
  - Then the probability of finding a pair of students colliding on their birthday is  $1.00 - 0.47 = 0.53!$
- This is called a *birthday paradox*



[http://commons.wikimedia.org/wiki/File:Birthday\\_Paradox.svg](http://commons.wikimedia.org/wiki/File:Birthday_Paradox.svg)



# In search for a perfect hash function

A *perfect hash function* is a function that:

1. When applied to an Object, returns a *number*
2. When applied to *equal* Objects, returns the *same* number for each
3. When applied to *unequal* Objects returns *different* numbers for each, preventing collisions.
4. The numbers returned by hash function are *evenly* distributed between the range of the positions in the array
5. We also require for our hash function to be *efficiently* computable

non-random inputs → random numbers?

# In search for a perfect hash function

- How to come up with this perfect hashing function?
- In general – there is no such magic function 😞
  - In a few specific cases, where all the possible values are known in advance, it is possible to define a perfect hash function. For example hashing objects by their SSN numbers. But this will require an array to be of size  $10^9$
- It seems that **collisions are essentially unavoidable**
- What is the next best thing?
  - A perfect hash function would have told us exactly where to look
  - However, the best we can do is a function that tells us in **what area of an array to start looking!**

Which of the following hash functions for Strings are legal?

- I. Return a random number.
- II. Return 0 if the string is of even length, 1 if it's of odd length.
- III. Add together all the ASCII values of the characters.

- A. All of the above
- B. I, II
- C. II, III
- D. I, III
- E. None of the above



# Hashing strings by summing up their character values

- It seems like a good idea to map each student surname into a number by adding up the ranks (or ASCII codes) of letters in this surname.

$$\text{hashCode}(S) = \sum_{i=0}^{\text{len}(S)} \text{rank}(S[i])$$

a	1
b	2
c	3
d	4
e	5
f	6
g	7
h	8
i	9
j	10
k	11
l	12
m	13
n	14
o	15
p	16
r	17
s	18
t	19
u	20
v	21
w	22
x	23
y	24
z	25

# What a great hash function!

$$\text{hashCode}(S) = \sum_{i=0}^{\text{len}(S)} \text{rank}(S[i])$$

- ◆  $\text{hashCode}(\text{'Chan'}) = 3 + 8 + 1 + 14 = 26$
- ◆  $\text{hashCode}(\text{'Yam'}) = 24 + 1 + 13 = 38$
- ◆  $\text{hashCode}(\text{'Li'}) = 12 + 9 = 21$
- ◆  $\text{hashCode}(\text{'Jones'}) = 10 + 15 + 14 + 5 + 18 = 62$
- ◆  $\text{hashCode}(\text{'Taylor'}) = 19 + 1 + 24 + 12 + 15 + 17 = 88$
  
- ◆  $\text{hashCode}(\text{'Smith'}) = 18 + 13 + 9 + 19 + 8 = 67$

a	1
b	2
c	3
d	4
e	5
f	6
g	7
h	8
i	9
j	10
k	11
l	12
m	13
n	14
o	15
p	16
r	17
s	18
t	19
u	20
v	21
w	22
x	23
y	24
z	25

# Still a lot of collisions!

$$\text{hashCode}(S) = \sum_{i=0}^{\text{len}(S)} \text{rank}(S[i])$$

- Not only hashCode('Yam')=hashCode('May')
- But hashCode('Chan')= hashCode('Lam') !

The function takes into account the value of each character in the string, but **not the order of characters**

# Polynomial hashing scheme

- The summation is not a good choice for sequences of elements **where the order has meaning**
- Alternative: choose  $A \neq 1$ , and use a hash function for string  $S$  of length  $N$ :

$$\text{hashCode}(S) = \sum_{i=0}^{N-1} S[i] \cdot A^{N-1-i} =$$

$$S[0] \cdot A^{N-1} + S[1] \cdot A^{N-1-1} + S[2] \cdot A^{N-1-2} + \dots + S[N-1] \cdot A^{N-1-(N-1)}$$

- This is a **polynomial of degree  $N$**  for  $A$ , and the elements (characters) of the String are the coefficients of this polynomial

a	1
b	2
c	3
d	4
e	5
f	6
g	7
h	8
i	9
j	10
k	11
l	12
m	13
n	14
o	15
p	16
r	17
s	18
t	19
u	20
v	21
w	22
x	23
y	24
z	25

# Example: polynomial hashing

$$\text{hashCode}(S) = \sum_{i=0}^{N-1} S[i] \cdot A^{N-1-i} =$$

$$S[0] \cdot A^{N-1} + S[1] \cdot A^{N-1-1} + S[2] \cdot A^{N-1-2} + \dots + S[N-1] \cdot A^{N-1-(N-1)}$$

$S_1 = \text{'Yam'}$

$S_2 = \text{'May'}$

$A = 31$

$$\text{hashCode}(S_1) = 24 \cdot 31^2 + 1 \cdot 31^1 + 13 \cdot 31^0 = 23108$$

$$\text{hashCode}(S_2) = 13 \cdot 31^2 + 1 \cdot 31^1 + 24 \cdot 31^0 = 12548$$

- Instead of using the summation of all character values, the polynomial hash function introduces interactions between different bits of successive characters that will provoke or spread randomness of the result



# How to compute polynomial of degree $N$ in time $O(N)$

## Horner's method:

$$\begin{aligned} p(x) &= a_0 + a_1x + a_2x^2 + a_3x^3 + \dots + a_nx^n \\ &= a_0 + x \left( a_1 + x \left( a_2 + x \left( a_3 + \dots + x \left( a_{n-1} + x a_n \right) \dots \right) \right) \right) \end{aligned}$$

Let  $x=31$ ,  $a_0 \dots a_n$  represent  $n+1$  characters of string  $S$ :

```
public int hashCode() {  
    int hash=0;  
    for (int i=0; i< length(); i++)  
        hash=hash*31+S[i];  
    return hash;  
}
```

That is ~how  
*hashCode()* is  
implemented inside  
Java *String* class

# Java String hashCode()

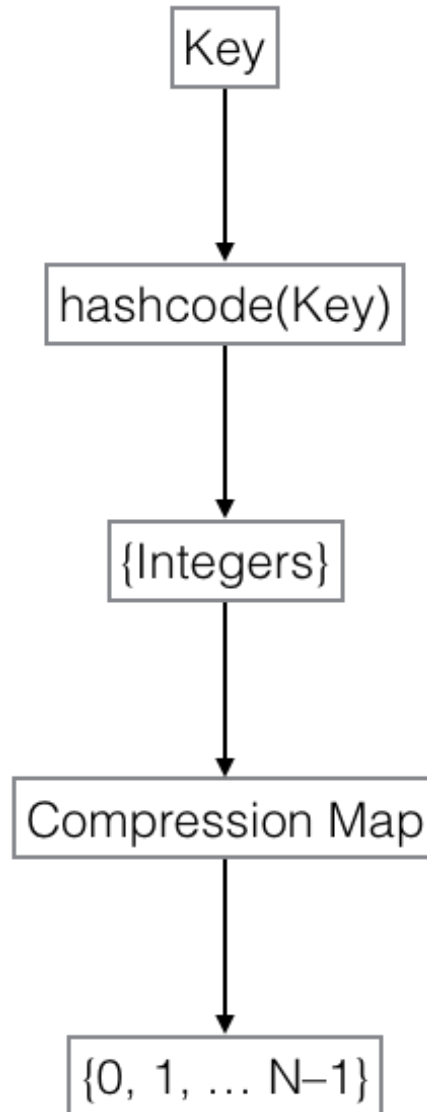
- Polynomial hashing is quite a good hash function: for different strings it returns mostly different values which are well spread over the range of all possible integers
- This hash function is also very efficient, since we need only  $n = \text{length}()$  steps to compute it

# Reducing the range of *hashCode* to the capacity of the array

- The output of hash function is a number randomly distributed over the range of **all** integers.
  - But we need to store our objects in the array of size ***M***
- Step 2: **compression mapping**
  - Converting integers in range  $\sim [0, 4000000000]$  to integers in range  $[0, M]$
  - The simplest way to do it:  $|hashCode| \text{ MOD } M$
  - In practice, the MAD (Multiply Add and Divide) method:  
$$|(A * hashCode + B) \text{ MOD } M|$$

The best results when *A*, *B* and *M* are primes

# Full hashing



# Hashing Students to 7 slots

→ Applying the polynomial hash function:

hashCode('Taylor')=-880692189  
hashCode('Yam')=119397  
hashCode('Li')=345  
hashCode('Lee')=107020  
hashCode('Lam')=106904  
hashCode('Roy')=113116

→ Applying the  $|(11 * \text{hashCode} + 13) \text{ MOD } 7|$  compression mapping:

arrayIndex('Taylor')=6  
arrayIndex('Yam')=2  
arrayIndex('Li')=4  
arrayIndex('Lee')=5  
arrayIndex('Lam')=3  
arrayIndex('Roy')=1

0	
1	Roy
2	Yam
3	Lam
4	Li
5	Lee
6	Taylor

# No more collisions?

- Does a good hash **always** produce different hash code for different strings?  
The answer is **NO**.  
If you run the code in the box, you will find out that
  - The words *Aa* and *BB* have the same *hashCode*
  - Words *variants* and *gelato* hash to the same value
  - ...
- We have to be prepared to deal with **collisions**, since they **are unavoidable**

```
public static void main(String [] args) {  
    String [] words=new String[6];  
    words[0]="Aa";  
    words[1]="BB";  
    words[2]="variants";  
    words[3]="gelato";  
    words[4]="misused";  
    words[5]="horsemints";  
  
    for(int i=0;i<6;i++) {  
        System.out.print("Hash code of "+words[i]+" : ");  
        System.out.println(words[i].hashCode());  
    }  
}
```

# Collision resolution strategies

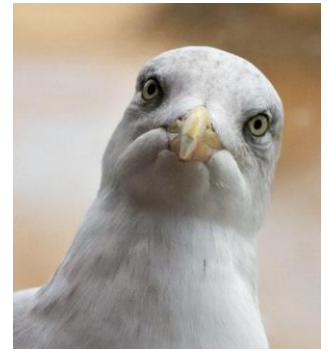
- Open addressing:
  - Linear probing
  - Quadratic probing
  - Double hashing
  
- Separate chaining

# Linear probing

- What can we do when two different values attempt to occupy the same slot in the array?
- Search from there for an empty location
  - Can stop searching when we find the value *or* an empty location
  - Search must be end-around (circular array)



# Add with linear probing



- Suppose you want to add **seagull** to this hash table
- Also suppose:
  - $\text{hashCode}(\text{'seagull'}) = 143$
  - $\text{table}[143]$  is not empty
  - $\text{table}[143] \neq \text{seagull}$
  - $\text{table}[144]$  is not empty
  - $\text{table}[144] \neq \text{seagull}$
  - $\text{table}[145]$  is empty
- Therefore, put **seagull** at location 145

...	
141	
142	robin
143	sparrow
144	hawk
145	<b>seagull</b>
146	
147	bluejay
148	owl
...	

# Find with linear probing: *seagull*

- Suppose you want to look up *seagull* in this hash table
- Also suppose:
  - $\text{hashCode}(\text{seagull}) = 143$
  - $\text{table}[143]$  is not empty
  - $\text{table}[143] \neq \text{seagull}$
  - $\text{table}[144]$  is not empty
  - $\text{table}[144] \neq \text{seagull}$
  - $\text{table}[145]$  is not empty
  - $\text{table}[145] == \text{seagull} !$
- We found *seagull* at location 145

...	
141	
142	robin
143	sparrow
144	hawk
145	<i>seagull</i>
146	
147	bluejay
148	owl
...	

# Find with linear probing: *cow*

- Suppose you want to look up *cow* in this hash table
- Also suppose:
  - `hashCode(cow) = 144`
  - `table[144]` is not empty
  - `table[144] != cow`
  - `table[145]` is not empty
  - `table[145] != cow`
  - `table[146]` is empty
- If *cow* were in the table, we should have found it by now
- Therefore, it isn't here

...	
141	
142	robin
143	sparrow
144	hawk
145	seagull
146	
147	bluejay
148	owl
...	

# Add with linear probing



- Suppose you want to add **hawk** to this hash table
- Also suppose
  - $\text{hashCode}(\text{hawk}) = 143$
  - $\text{table}[143]$  is not empty
  - $\text{table}[143] \neq \text{hawk}$
  - $\text{table}[144]$  is not empty
  - $\text{table}[144] == \text{hawk}$
- **hawk** is already in the table, so do nothing

...	
141	
142	robin
143	sparrow
144	hawk
145	seagull
146	
147	bluejay
148	owl
...	

# Add with linear probing



- Suppose you want to add **cardinal** to this hash table
- Also suppose:
  - **hashCode(cardinal) = 147**
  - The last location is 148
  - 147 and 148 are occupied
- Solution:
  - Treat the table as circular; after 148 comes 0
  - Hence, **cardinal** goes in location 0 (or 1, or 2, or ...)

...	
141	
142	robin
143	sparrow
144	hawk
145	seagull
146	
147	bluejay
148	owl
...	

# General problem with open addressing: deletion

➤ What happens if we delete **sparrow**?

- hashCode(sparrow)=143
- hashCode(seagull)=143

...	
141	
142	robin
143	sparrow
144	hawk
145	seagull
146	
147	bluejay
148	owl
...	

# General problem with open addressing: deletion

➤ What happens if we delete **sparrow**?

- hashCode(sparrow)=143
- hashCode(seagull)=143

...	
141	
142	robin
143	
144	hawk
145	seagull
146	
147	bluejay
148	owl
...	

# General problem with open addressing: deletion

- What happens if we delete **sparrow**?
  - `hashCode(sparrow)=143`
  - `hashCode(seagull)=143`
- Now when searching for seagull we check
  - **`table[143]` is empty**
  - We can not find seagull!

...	
141	
142	robin
143	
144	hawk
145	seagull
146	
147	bluejay
148	owl
...	



# Solution to the deletion problem

➤ After we delete *sparrow* we put a special sign *deleted* instead of *empty*

- `hashCode(sparrow)=143`
- `hashCode(seagull)=143`

➤ Now when searching for seagull we check

- `table[143]` is deleted
- We skip it
- `table[144]` is not empty
- `table[144] != seagull`
- `table[145]=seagull`

We found seagull!

➤ The deleted slots are filling up during the subsequent insertions

...	
141	
142	robin
143	*Deleted
144	hawk
145	seagull
146	
147	bluejay
148	owl
...	

# Group Work

- Add the following keys, **in order**, to an **initially empty** Hash table of size  $N=13$ . The hash function is  $\text{hash}(x) = x \% 13$

10, 85, 15, 70, 20, 60, 30, 50, 65, 40, 90, 35

- Resolve collisions with *linear probing*

# Another problem with linear probing: clustering

- One problem with the above technique is the tendency to form “clusters”
- A **cluster** is a consecutive area in the array not containing any open slots
- The bigger a cluster gets, the more likely it is that new values will hash into the cluster, and make it even bigger
- Clusters cause degradation in the efficiency of search
- Here is a *non*-solution: instead of stepping one ahead, step  $k$  locations ahead
  - The clusters are still there, they’re just harder to see
  - Unless  $k$  and the table size are mutually prime, some table locations are never even checked

# Solution 1 to clustering problem: Quadratic probing

- As before, we first try slot  $j = \text{hashCode} \text{ MOD } M$ .
- If this slot is occupied, instead of trying slot  $j = |(j+1) \text{ MOD } M|$ , try slot:  
 $j = |(hashCode + i^2) \text{ MOD } M|$ , where  $i$  takes values with increment of 1 and we continue until  $j$  points to an empty slot
- For example if position *hashCode* is initially 5, and  $M=7$  we try:  
 $j = 5 \text{ MOD } 7 = 5$   
 $j = (5 + 1^2) \text{ MOD } 7 = 6 \text{ MOD } 7 = 6$   
 $j = (5 + 2^2) \text{ MOD } 7 = 9 \text{ MOD } 7 = 2$   
 $j = (5 + 3^2) \text{ MOD } 7 = 14 \text{ MOD } 7 = 0$  etc.

$$j = |(hashCode + i^2) \text{ MOD } M|, \text{ hashCode} = 3, M = 10$$

Under quadratic probing, with the following array, where will an item that hashes to position 3 get placed?

- A. 0
- B. 2
- C. 5
- D. 9
- E. None of the above

Index	Value
0	
1	
2	
3	X
4	X
5	
6	
7	X
8	
9	

# Problems with Solution 1: Quadratic probing

- Quadratic probing helps to avoid the clustering problem of a linear probing
- But it creates its own kind of clustering, where the filled array slots “bounce” in the array in a fixed pattern
- In practice, even if  $M$  is a prime, this strategy may fail to find an empty slot in the array that is just half full!

# Solution 2 to clustering problem: Double hashing

- In this case we choose the secondary hash function:  
 $stepHash(k)$ .
- If the slot  $j = hashCode \text{ MOD } M$  is occupied, we iteratively try the slots
$$j = |(hashCode + i * stepHash) \text{ MOD } M|$$
- The secondary hash function  $stepHash$  is not allowed to return 0
- The common choice ( $Q$  is a prime):
$$stepHash(S) = Q - (hashCode(S) \text{ mod } Q)$$

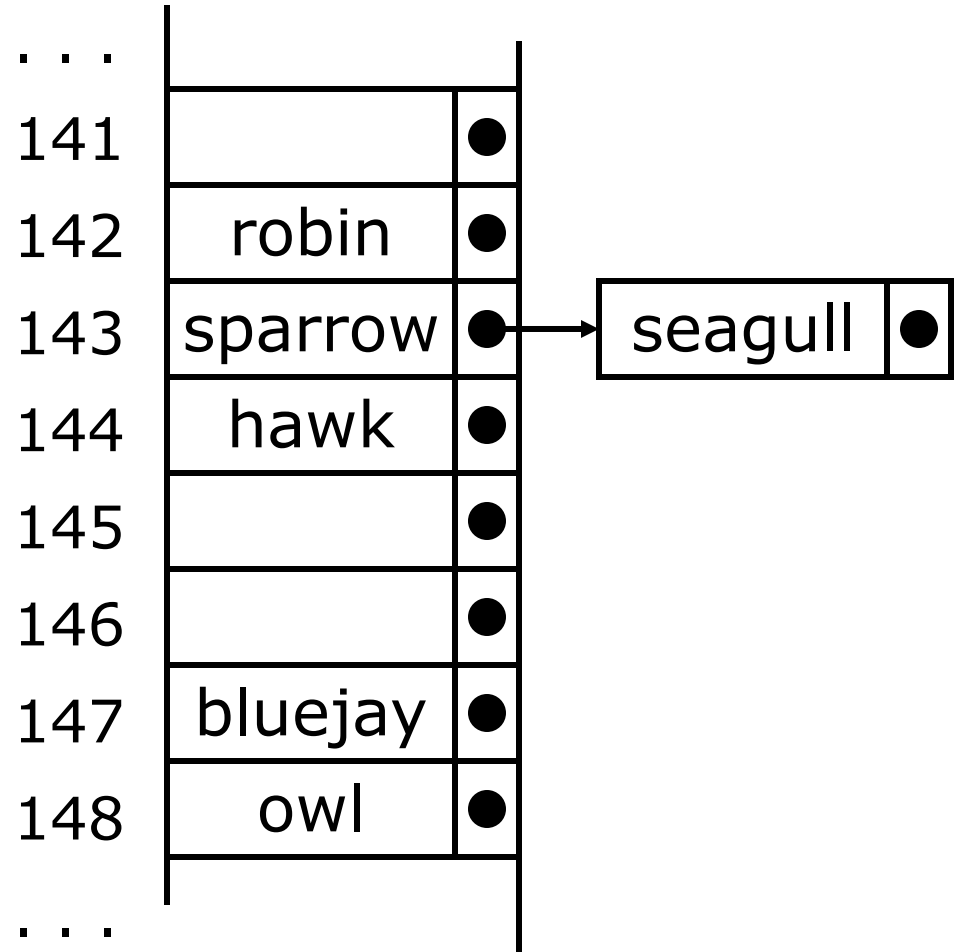
# Collision resolution strategies

- Open addressing:
  - Linear probing
  - Quadratic probing
  - Double hashing
- Separate chaining



# Separate chaining

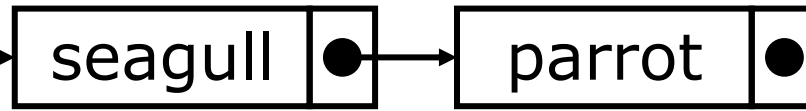
- The previous solutions use **open addressing**: all entries go into a “flat” (unstructured) array
- Another solution is to store in each location the head of a *linked list* of values that hash to that location



# Separate chaining: *Find*

...		
141		●
142	robin	●
143	sparrow	●
144	hawk	●
145		●
146		●
147	bluejay	●
148	owl	●
...		

➤ The Hash table becomes an array of  $M$  linked lists



➤ To find an Object with hashCode  $i$

- Retrieve List head pointer from  $\text{table}[i]$
- Scan the chain of links

➤ Running time depends on the length of the chain

“If we are adding a new key to the hash table and the position at *hashCode* is already occupied by a different key, we can place the new key in the next available empty slot in the underlying array.”

This collision resolution technique is of type:

- A. Open addressing
- B. Direct addressing
- C. Separate chaining
- D. Linear probing
- E. More than one is correct



# Separate Chaining vs. Open Addressing

- If the space is not an issue, *separate chaining* is the method of choice: it will create new list elements until the entire memory permits
- If you want to be sure that you occupy exactly  $M$  array slots, use *open addressing*, and use the probing strategy which minimizes the clustering

# ADT Set operations: performance

Implementation	Worst case			Expected		
	Search (Contains)	Add	Remove	Search (Contains)	Add	Remove
Balanced Binary tree	$\log N$	$\log N$	$\log N$	$\log N$	$\log N$	$\log N$
Unsorted List (Array or Linked list)	$N$	$1^{**}$	$N$	$N/2$	$N$	$N/2$
Hash table with linear probing	$N$	$N$	$N$	$1^*$	$1^*$	$1^*$
Hash table with separate chaining	$N$	$N$	$N$	$1^*$	$1^*$	$1^*$

\*\*If we know that new key is unique

\*Given a good hash function

# Final notes about Hash table performance

- Hash tables are actually surprisingly efficient
- Until the array is about 70% full, the number of probes (places looked at in the table) is typically only 2 or 3
- Sophisticated mathematical analysis is required to *prove* that the expected cost of inserting or looking something up in the hash table, is  $O(1)$
- Even when the table is nearly full (leading to occasional long searches), overall efficiency is usually still quite high

# Common implementations of Set ADT using Hash Tables

- Set:
  - *unordered\_set* in C++
  - *HashSet* in Java
  - *set* in Python

# Now you know that in Python:

```
# list (array)
t = [1, 2, 3, 4, ..., n]

if 8 in t:
    print('found')
```

Time  $O(n)$

```
# set
s = {1, 2, 3 ... n}

if 8 in s:
    print('found')
```

Time  $O(1)$



# Which tasks can be efficiently solved using the Hash Table implementation of Set ADT?

- A. Removing duplicates from the array of integers
- B. Quickly checking if student name is in the class roster
- C. Testing if all the elements of a given array are unique
- D. Given a list of family names and a given family name  $s$ , counting how many times  $s$  appears in the array.

We use an **unsorted Array List** to implement **Set ADT**.

Choose the row with the correct runtime for each operation

	<b>Remove(k)</b>	<b>Add(k)</b>	<b>Find(k)</b>
A	$O(1)$	$O(n)$	$O(1)$
B	$O(\log n)$	$O(\log n)$	$O(\log n)$
C	$O(\log n)$	$O(n)$	$O(\log n)$
D	$O(n)$	$O(1)$	$O(n)$

E. None of the above



We use **Balanced Binary Search Tree** to implement **Set ADT**.

Choose the row with the correct runtime for each operation

	<b>Remove(k)</b>	<b>Add(k)</b>	<b>Find(k)</b>
A	$O(1)$	$O(n)$	$O(1)$
B	$O(\log n)$	$O(\log n)$	$O(\log n)$
C	$O(\log n)$	$O(n)$	$O(\log n)$
D	$O(n)$	$O(1)$	$O(n)$

E. None of the above



We use a **Hash Table** to implement **Set ADT**.

Choose the row with the correct runtime for each operation

	<b>Remove(k)</b>	<b>Add(k)</b>	<b>Find(k)</b>
A	$O(1)$	$O(n)$	$O(1)$
B	$O(\log n)$	$O(\log n)$	$O(\log n)$
C	$O(\log n)$	$O(n)$	$O(\log n)$
D	$O(n)$	$O(1)$	$O(n)$

E. None of the above



# Sets and Maps

- Sometimes we just want a *set* of things—objects are either in it, or they are not in it

0	
1	
2	Li
3	Yam
4	Chan
5	Jones
6	Taylor
7	

**SET**

# Sets and Maps

- Sometimes we want a *map*—a way of looking up one thing based on the value of another
  - We use a *key* to find a place in the map
  - The associated *value* is the information we are trying to look up

	Key	Value
0		
1		
2	Li	Li info
3	Yam	Yam info
4	Chan	Chan info
5	Jones	Jones info
6	Taylor	Taylor info
7		

**MAP** = ASSOCIATIVE ARRAY, DICTIONARY

# What is a key and what is a value?

<b>Key</b>	Phone number
Li	11111
Yam	22111
Chan	33111
Jones	11444
Taylor	55111

<b>Key</b>	Last Name
11111	Li
22111	Yam
33111	Chan
11444	Jones
55111	Taylor

The answer: depends on the application

# Abstract Data Type: **Map**

## Specification

**Map** is an Abstract Data Type which supports the following operations:

- **Set** ( $k, e$ ) - adds element  $e$  to the collection and associates it with key  $k$
  - **Get** ( $k$ ) - returns the element associated with key  $k$
  - **Contains** ( $k$ ) - returns *True* if there is an element associated with the key  $k$ . Returns *False* otherwise
  - **Remove** ( $k$ ) - removes element with key  $k$  from the collection
- The main efficiency of both Set and Map comes from the ability to **find the item quickly**



# Common implementations of Set and Map ADT

## ➤ Set:

- *unordered\_set* in C++
- *HashSet* in Java
- *set* in Python

## ➤ Map:

- *unordered\_map* in C++
- *HashMap* in Java
- *dict* in Python